## Chirality in Molecular Vibrations: VCD and ROA

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## Outline

- Definitions of VOA
- Measurement of VCD and ROA
- Levels of Resonance Raman Scattering
- Resonance ROA
- Velocity Formulation of VCD
- Vibrational Current Density
- Determination of Absolute Configuration
- Enhanced VCD Amyloid Fibrils and Low-Lying Electronic States
- Conclusions

# **Definitions of VOA**

## **Classical Forms of Optical Activity**

Optical Rotation (Optical Rotatory Dispersion, ORD, CB)

$$\alpha = \frac{\pi}{\lambda} (n_L - n_R)$$

Ellipticity (Circular Dichroism, CD)

$$\psi = \frac{\pi}{\lambda} (k_L - k_R)$$

**Complex Refractive Index** 

$$\tilde{n} = n + ik = n^{\circ} + in^{\circ}$$

## VIBRATIONAL OPTICAL ACTIVITY

Differential Interaction of a Chiral Molecule with Left and Right Circularly Polarized Radiation During Vibrational Excitation

#### VIBRATIONAL CIRCULAR DICHROISM

Differential Absorption of Left and Right Circularly Polarized Infrared Radiation

#### **RAMAN OPTICAL ACTIVITY**

Differential Raman Scattering of Left and Right Incident and/or Scattered Radiation



 $\mathsf{DCP}_{\mathsf{I}}\mathsf{-}\mathsf{ROA}: \qquad \Delta I_{I} = I_{R}^{R} - I_{L}^{L}$ 





Forms of Circular Polarization Vibrational Optical Activity  $\Delta A(\overline{\nu}) = A_{I}(\overline{\nu}) - A_{R}(\overline{\nu})$ VCD  $\Delta I_{\alpha}(\overline{\nu}) = I_{\alpha}^{R}(\overline{\nu}) - I_{\alpha}^{L}(\overline{\nu})$ **ICP-ROA** (Incident CP)  $\Delta I^{\alpha}(\overline{\nu}) = I^{\alpha}_{R}(\overline{\nu}) - I^{\alpha}_{L}(\overline{\nu})$ **SCP-ROA** (Scattered CP)  $\Delta I_{I}(\overline{\nu}) = I_{R}^{R}(\overline{\nu}) - I_{I}^{L}(\overline{\nu})$ DCP<sub>1</sub>-ROA (In-Phase Dual CP)  $\Delta I_{\mu}(\overline{\nu}) = I_{\mu}^{R}(\overline{\nu}) - I_{R}^{L}(\overline{\nu})$ DCP<sub>II</sub>-ROA (Out-of-Phase DCP)

## VCD & ROA Short History

#### • VCD

- Discovered in 1974 by Holzwarth
- Confirmed by Nafie, Cheng, Keiderling & Stephens in 1975, 1976
- FT-VCD discovered by Nafie in 1978
- Commercialized by BioTools and ABB Bomem in 1997
- 2nd generation spectrometers w/time sampling - 2010

#### ROA

- Discovered in 1973 by Barron & Buckingham, ICP-ROA
- Confirmed by Hug in 1975
- SCP/DCP-ROA discovered by Nafie 1987
- New ROA Design, Hug 1999
- Commercialized by BioTools in 2003
- 2nd generation spectrometer w/ microscope - 2009/10

## Classes of Molecules and Techniques VCD & ROA

#### • VCD

- Small Organic Molecules, Pharmaceuticals and Natural Products
- Proteins, Peptides, Amino Acids, Sugars, Nucleic Acids, DNA, RNA Glycoproteins
- Transition Metal Complexes with Enhance VCD for Low-Lying States
- Chiral Polymers
- Supramolecular Structures including Protein Fibrils
- Solutions, Films, Solid Microcrystals, Spray-Dried Films
- Accurate Quantum Calculations

ROA

- Proteins, Peptides, Amino Acids, Sugars, Nucleic Acids, DNA, RNA, Glycoproteins
- Small Organic Molecules, mostly neat liquids
- Viruses
- Surface-Enhanced ROA (SEROA) of Adsorbed Molecules on Metal Surfaces
- Resonance ROA (RROA)
- Accurate Quantum Calculations

# **FT-VCD** Measurements

## **FT-VCD Instrumental Layout**





Enantiomers: IR spectra are identical, VCD spectra are opposite in sign

# **CCD-ROA Measurements**





## Measured SCP-ROA Spectra

(a) ROA of S-(-)-α-pinene

(b) ROA of R-(+)-α-pinene

(c) Raman spectrum of α-pinene

#### **Research Article**



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(wileyonlinelibrary.com) DOI 10.1002/jrs.3000

# Simultaneous acquisition of all four forms of circular polarization Raman optical activity: results for $\alpha$ -pinene and lysozyme

#### Honggang Li<sup>a</sup>\* and Laurence A. Nafie<sup>a,b</sup>



# **Degrees of RR and RROA**

# Degrees of RR and RROA

- GU General Unrestricted Theory
- FFR Far-From-Resonance Theory
- NR Near-Resonance Theory
- SES Single-Electronic-State Theory
- MES Multiple-Electronic-State Theory

## General Unrestricted Theory (GU Level)

## Exact Excited-State Vibronic Detail

- •Raman tensor is not symmetric
- Raman tensor is time-reversal invariant
- •3 Raman Invariants, 10 ROA Invariants
- •ICP-ROA does not equal SCP-ROA
- •DCP<sub>II</sub>-ROA is non-zero
- Software routines not available

## Far-From-Resonance (FFR) Theory

## No Excited State Vibronic Detail

- •Raman tensor is symmetric
- •Raman tensor is not time-reversal invariant
- Incident and scattered radiation have the same degree of pre-resonance
- •2 Raman Invariants, 3 ROA Invariants
- •ICP-ROA, SCP-ROA and DCP<sub>I</sub>-ROA equal
- •DCP<sub>II</sub>-ROA is equal to zero
- •Software routines available commercially from Gaussian, Inc.

Near-Resonance (NR) Theory (GU Invariant Level)

## Simple Excited State Vibronic Detail

- Raman Tensor is not symmetric Raman tensor is time-reversal invariant Incident and scattered radiation have different degrees of pre-resonance •3 Raman Invariants, 10 ROA Invariants •ICP-ROA, SCP-ROA, and DCP<sub>II</sub>-ROA differ from each other •DCP<sub>II</sub>-ROA is non-zero
- Software routines not available

#### Near Resonance (NR) Theory of Vibrational Raman and ROA

Theor Chem Account (2008) 119:39–55 DOI 10.1007/s00214-007-0267-9

**REGULAR ARTICLE** 

#### Theory of Raman scattering and Raman optical activity: near resonance theory and levels of approximation

Laurence A. Nafie

Comparison of FFR Theory to NR Theory

FFR Theory (Symmetric Raman Tensor)

$$\left(\alpha_{\alpha\beta}\right)_{g1,g0}^{a} = \frac{2}{\hbar} \sum_{e} \omega_{eg}^{0} \operatorname{Re}\left[\frac{\left[\left(\hat{\mu}_{\alpha}\right)_{ge}^{0}\left(\hat{\mu}_{\beta}\right)_{eg}^{Q_{a}}\right]}{\left(\omega_{eg}^{0}\right)^{2} - \omega_{0}^{2}} + \frac{\left[\left(\hat{\mu}_{\alpha}\right)_{ge}^{Q_{a}}\left(\hat{\mu}_{\beta}\right)_{eg}^{0}\right]}{\left(\omega_{eg}^{0}\right)^{2} - \omega_{0}^{2}}\right] (Q_{a})_{10}$$

NR Theory (Restores Asymmetry to Raman Tensor)

$$\left(\alpha_{\alpha\beta}\right)_{g1,g0}^{a} = \frac{2}{\hbar} \sum_{e} \omega_{eg}^{0} \operatorname{Re}\left[\frac{\left[\left(\hat{\mu}_{\alpha}\right)_{ge}^{0}\left(\hat{\mu}_{\beta}\right)_{eg}^{Q_{a}}\right]}{\left(\omega_{eg}^{0}\right)^{2} - \omega_{R}^{2}} + \frac{\left[\left(\hat{\mu}_{\alpha}\right)_{ge}^{Q_{a}}\left(\hat{\mu}_{\beta}\right)_{eg}^{0}\right]}{\left(\omega_{eg}^{0}\right)^{2} - \omega_{R}^{2}}\right] (Q_{a})_{10}$$

$$\omega_{R} = \omega_{0} - \omega_{a} = \omega_{0} (1 - \omega_{a} / \omega_{0})$$

GU/NR Theory -3 Raman 10 ROA invariants, FFR Theory -2 Raman and 3 ROA Invariants

#### SES Theory of natural vibrational RROA



Chemical Physics 205 (1996) 309-322

Chemical

**Physics** 

# Theory of resonance Raman optical activity: the single electronic state limit

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Department of Chemistry, Syracuse University, Syracuse, NY 13244-4100, USA

Received 27 June 1995

# Ratio of RROA to RR in Backscattering DCP<sub>I</sub> equals

$$\frac{I_{R}^{R}(180^{\circ}) - I_{L}^{L}(180^{\circ})}{I_{R}^{R}(180^{\circ}) + I_{L}^{L}(180^{\circ})} = -\left(\frac{4}{c}\right) \frac{\mathrm{Im}\left[\left(\vec{\mu}_{ge}^{0} \cdot \left(\vec{m}\right)_{eg}^{0}\right]\right]}{\left|\left(\vec{\mu}\right)_{eg}\right|^{2}} = -g_{ge}$$

The Negative of the Ratio of CD to Absorbance of Resonant Electronic State

#### First observation of natural RROA Confirmation of SES-RROA Theory



1 May 1998

Chemical Physics Letters 287 (1998) 359-364

CHEMICAL PHYSICS LETTERS

#### Experimental observation of resonance Raman optical activity

Mária Vargek, Teresa B. Freedman, Eunah Lee, Laurence A. Nafie

Department of Chemistry, Syracuse University, Syracuse, NY 13244-1400, USA

Received 24 October 1997; in final form 31 December 1997

#### First observation of natural RROA Confirmation of SES-RROA Theory



## Resonance vibrational Raman optical activity: A time-dependent density functional theory approach

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(Received 16 May 2007; accepted 11 July 2007; published online 2 October 2007)

Calculated ROA show monosignate spectra with the same form as the RR spectrum and with the same ratio as the electronic CD to the absorption spectrum, with the opposite sign, of the resonant electronic state

#### RR and ROA of Methyloxirane at 202 and 185 nm Excitation



# Theoretical Background of VCD

Dipole and Rotational Strength for Vibrational Transition of gv to gv'

$$\begin{bmatrix}
\mathbf{IR} \\
D_{r,g\upsilon',g\upsilon'}^{a} = \left| \left( \frac{\partial \langle \boldsymbol{\mu} \rangle}{\partial Q_{a}} \right)_{Q_{a}=0} \langle \phi_{g\upsilon'}^{a} | Q_{a} | \phi_{g\upsilon}^{a} \rangle \right|^{2}$$

$$\begin{aligned} &\rho_{g}^{CA}(\boldsymbol{r},\boldsymbol{R},\boldsymbol{0}) = \rho_{g}^{A}(\boldsymbol{r},\boldsymbol{R}) = \psi_{g}^{A}(\boldsymbol{r})\psi_{g}^{A}(\boldsymbol{r}) \cong \rho_{g}^{0}(\boldsymbol{r}) + \sum_{J} \left(\frac{\partial \rho_{g}^{A}(\boldsymbol{r})}{\partial \boldsymbol{R}_{J}}\right)_{R=0} \cdot \boldsymbol{R}_{J} \\ &j_{g}^{CA}(\boldsymbol{r},\boldsymbol{R},\boldsymbol{R}) = \frac{\hbar}{2mi} \left[ \tilde{\psi}_{g}^{CA*}(\boldsymbol{r}) \nabla \tilde{\psi}_{g}^{CA}(\boldsymbol{r}) - \tilde{\psi}_{g}^{CA}(\boldsymbol{r}) \nabla \tilde{\psi}_{g}^{CA*}(\boldsymbol{r}) \right] \cong \sum_{J} \left(\frac{\partial j_{g}^{CA}(\boldsymbol{r})}{\partial \boldsymbol{R}_{J}}\right)_{R=0} \cdot \boldsymbol{R}_{J} \end{aligned}$$

Continuity Equation - Conservation of Charge Density

$$-\nabla \cdot \boldsymbol{j}_{g}^{CA}(\boldsymbol{r}, 0, \boldsymbol{R}) = \frac{\partial \rho_{g}^{CA}(\boldsymbol{r}, \boldsymbol{R}, 0)}{\partial t}$$
$$-\nabla \cdot \left(\frac{\partial \boldsymbol{j}_{g}^{CA}(\boldsymbol{r})}{\partial \boldsymbol{R}_{J}}\right)_{\boldsymbol{R}=0} = \left(\frac{\partial \rho_{g}^{A}(\boldsymbol{r})}{\partial \boldsymbol{R}_{J}}\right)_{\boldsymbol{R}=0}$$
Beyond BO BO

#### Vibrational Current Density

#### Anti-symmetric CH Stretch in Formaldehyde



## Vibrational Current Density

(S)-Methyl-d<sub>3</sub> Lactate-Cd<sub>3</sub> Methine Stretch



View along electric dipole transition moment of CH stretch

# Determination of Absolute Configuration using VOA

### applied spectroscopy

An International Journal of Spectroscopy



FOCAL POINT: Determination of Absolute Configuration of Chiral Molecules Using Vibrational Optical Activity: A Review

Report on the First UK Meeting of the SAS



65/7

**ABSOLUTE CONFIGURATION BY VOA** 

Official Publication of the Society for Applied Spectroscopy

Absolute configuration of a Mirtazapine enantiomer

- Mirtazapine the active ingredient of an antidepressant drug
- 20 heavy atoms
- 1 chiral center



Drs. Edwin Kellenbach, Organon Laboratories, Riom, France & Petr van Hoof, Organon NV




Vibrational circular dichroism (VCD) is used to identify *unambiguously* the absolute configuration of Mirtazapine as (-)-R and solution conformation as shown above AC Determination of Small Organic Molecules

### New Iminolactone: 16 heavy atoms, 1 chiral center





Professor Arlette Solladie-Cavallo, University of Strasbourg Tetrahedron Asymmetry, 12, 2703, 2001

### Comparison of Measured and Calculated SCP-ROA



W. Hug et al. *Helv. Chim. Acta*, 84, 1, 2001

Paper describing application of VCD to the Determination of Absolute Configuration of Chiral Pharmaceutical Molecules

Bioorganic & Medicinal Chemistry Letters 23 (2013) 4019-4025



BMCL Digest

A rapid alternative to X-ray crystallography for chiral determination: Case studies of vibrational circular dichroism (VCD) to advance drug discovery projects

Steven S. Wesolowski \*,†, Don E. Pivonka<sup>‡</sup>

AstraZeneca Pharmaceuticals, 1800 Concord Pike, Wilmington, DE 19850, USA

## VOA in Pharma and Biopharma







**Robust Market Starts** 

To Mature

CHIRAL DRUGS Product Development

75 YEARS OF GREAT CHEMISTRY

c

Product Developm Pays Off In Sales Page 83

EPTEMBER 21, 1998

### VCD / ROA in Pharma

- Amgen, Astra-Zeneca, BMS, GSK, Eli Lilly, Wyeth/Pfizer, J&J, Roche, Novartis, Boehringer-Ingelheim, Organon (Akzo Nobel, now Merck), Merck, Pfizer, Abbott/AbbVie, Cell Therapeutics, Solvay, Neurocrine, Sanofi-Aventis, Sepracor / Sunovion, Gilead, and many more use VCD for AC by outsourcing measurements and calculations.
- VCD is now used as a routine tool for AC and not just a research technique. Hundreds of AC determinations carried out in Pharma each year. Over 100 US Patents cite VCD for AC determination of new drugs
- VCD is 'accepted' by regulatory agencies as proof of Absolute Configuration.
- VCD is in the initial stages of becoming a standard method for AC determination in the US Pharmacopeia (Stimuli article published in July)

# AC Determination of Pharmaceutical Molecules

### McN 5652-X Inhibitor of Seratonin Reuptake



Bruce E. Maryanoff, David F. McComsey, Rina K. Dukor, Laurence A. Nafie, Teresa B. Freedman, Xiaolin Cao, and Victor W. Day, *Biorg. Med. Chem.*, **11**, 2463-2470, (2003).







### NPC

### **Natural Product Communications**

#### Vibrational Circular Dichroism: A New Tool for the Solution-State Determination of the Structure and Absolute Configuration of Chiral Natural Product Molecules

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Received: October 30<sup>th</sup>, 2007; Accepted: January 25<sup>th</sup>, 2008

This paper is dedicated to Professor Pedro Joseph-Nathan for his 65<sup>th</sup> birthday.

### L.A. Nafie, Nat. Prod. Comm. 3, 451-466 (2008)



# VOA Analysis of Proteins for Biopharma Applications

#### Near-Infrared and Mid-Infrared Fourier Transform Vibrational Circular Dichroism of Proteins in Aqueous Solution

SHENGLI MA,\* TERESA B. FREEDMAN, RINA K. DUKOR, and LAURENCE A. NAFIE<sup>†</sup>

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#### Nonlinear mapping algorithm for classification 80 protein ROA spectra Barron et al. *J Mol Bio* **363** 19-26 (2006)



## Aggregation of Human IgG1



## CAUSES OF SOLUBLE & INSOLUBLE AGGREGATES:

- temperature
- shear force
- freeze-thawing
- pH
- high concentration
- -- long term storage

Work and slides courtesy of Dr. Tiansheng Li – Amgen, Inc.



# Enhanced VCD Intensity

Examples of Enhanced VCD Intensity

- Protein Fibrillation and Development
- Molecules with Low-Lying Electronic States
- •Negative Index Materials and Helicene and Cyclocene Molecules
- •Spray-Dried Films of Amino Acids and Peptides
- •Heme Protein Ligands

# Enhanced VCD Intensity in Protein Fibrils

### Initial Stages of Fibril Formation





### More Advanced Fibril Formation and Development





Published on Web 09/26/2007

#### Vibrational Circular Dichroism Shows Unusual Sensitivity to Protein Fibril Formation and Development in Solution

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Received June 8, 2007; E-mail: Inafie@syr.edu

J. Am. Chem. Soc. 129, 12364-12365 (2007)



## VCD of Fibrils of Lysozyme and Insulin





Lysozyme

Insulin



Normal VCD and IR for Lysozyme and Insulin

 $g \sim 10^{-2}$  to  $10^{-3}$ 



### Insulin Fibril Formation and Growith at pH 2 with heating at 60°C

### Reversed Supramolecular Chirality in Insulin Fibrils



Reversed Large VCD of Insulin Protein Fibrils

Implications of Reversed Supramolecular Chirality



Comparison of Insulin Fibrils in the Solution and Film State for Normal and Reversed Supramolecular Chirality





Article

#### Is Supramolecular Filament Chirality the Underlying Cause of Major Morphology Differences in Amyloid Fibrils?

Dmitry Kurouski,<sup>†</sup> Xuefang Lu,<sup>‡</sup> Ludmila Popova,<sup>†</sup> William Wan,<sup>§</sup> Maruda Shanmugasundaram,<sup>†</sup> Gerald Stubbs,<sup>§</sup> Rina K. Dukor,<sup>‡</sup> Igor K. Lednev,<sup>†</sup> and Laurence A. Nafie<sup>\*,||,‡</sup>



dx.doi.org/10.1021/ja407583r1 J. Am. Chem. Soc. 2014, 136, 2302-2312

### VCD versus pH for 4 additional proteins/peptides

0.5 - a)

ΔA × 10<sup>2</sup>

-0.5

-1

.8

.2

Absorbance

1 - b)

1666

1636

1624

1671

1620

1578

1611 1557

1700 1650 1600 1550





VCD (a) and IR (b) spectra of lysozyme fibrils grown at pH 1.0 (blue), 1.5 (green), 2.3 (black) and 2.7 (red) for 3 days at 65 °C.

VCD (a) and IR (b) spectra of apo-alpha lactalbumin fibrils grown at pH 1.5 (blue), 2.5 (red), 3.0 (green) and 4.0 (black) for 3 days at 37 <sup>o</sup>C. VCD (a) and IR (b) spectra of HET-s mouse prion protein fibrils grown at pH 2.0 (red), 3.3 (green), 3.9 (black) room temperature, 2 months

1800 1750 1700 1650 1600 1550 1500 1450 1400

Wavenumber (cm-1)



Wavenumber (cm-1)

VCD (a) and IR (b) spectra of TRR 105-115 fragment of transthyretin fibrils grown at pH 1.0 (blue), 1.5 (green), 2.0 (black), 2.5 (red) and 3.0 (violet) for 2 days at 37

For insulin, lysozyme, apo-alpha-lactalbumin, HET-s mouse prion<sup>C</sup> protein and the TTR<sub>105-115</sub> peptide fragment maintain correlation of **normal VCD to left-twisted <u>fibril</u>** morphology and **<u>reversed VCD to flat tape-like fibrils</u>** 

### Vibrational Circular Dichroism Spectra of Lysozyme Solutions: Solvent Effects on Thermal Denaturation Processes

Alessandra Giugliarelli,<sup>†</sup> Paola Sassi,<sup>\*,†</sup> Marco Paolantoni,<sup>†</sup> Assunta Morresi,<sup>†</sup> Rina Dukor,<sup>‡</sup> and Laurence Nafie<sup>§</sup>

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# Enhanced VCD Intensity in Molecules with Low-Lying Electronic States
# Sparteine Transition Metal Complexes



6R,7S,9S,11S-(-)-Sparteine



Optimized geometry of Zn(sp)Cl<sub>2</sub>



# Sparteine Transition Metal Complexes





## Sparteine Transition Metal Complexes







#### Amplified Vibrational Circular Dichroism as a Probe of Local Biomolecular Structure

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### Conclusions

- VCD and ROA are a sensitive spectroscopic probes of absolute molecular stereochemistry of all classes of chiral molecules and biomolecules
- Velocity formulation of VCD allows visualization of vibrational current density
- Enhanced VCD can be seen in
  - Protein fibril formation and development
  - Molecules with low-lying excited electronic states
  - Extended chiral cyclic  $\pi$ -electron systems including chiral conducting polymers

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